

8-28-00

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## EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	Dave Glisson	Express Mail No.	EL563650071US
Signature	<i>Dave Glisson</i>	Date	August 24, 2000

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Atty Docket No.	CORA-011
First Named Inventor	Brent R. Constantz
Title:	FLUID DELIVERY SYSTEMS FOR DELIVERING FLUIDS TO MULTI-LUMEN CATHETERS

PTO  
JCS750 S. PTO  
09/648282  
08/24/00

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Address to:

1. ☒ Fee Transmittal Form
2. ☒ Specification Total Pages 24  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

## - Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) Total Sheets 54. ☒ Oath or Declaration Total Sheets

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- c. ☐ Unsigned

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☒ Small Entity ☐ Statement filed in prior application  
Statement(s) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

**FEE TRANSMITTAL**

Note: Effective October 1, 1998.  
Patent fees are subject to annual revision.

Attorney Docket Number	CORA-011
Inventor	Brent R. Corz
Application Number	N/A
Filing Date	Herewith
Examiner Name & Group Art Unit Unit	N/A
Title	FLUID DELIVERY SYSTEMS FOR DELIVERING FLUIDS TO MULTI-LUMEN CATHETERS

**METHOD OF PAYMENT**

1. ☐ A Check Is Enclosed  
2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815. Deposit Account Name: Bozicevic, Field & Francis LLP

**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$345
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$345

**2. CLAIMS**

No. of claims as filed or after amendment			Most claims previously paid		Extra claims		Fee from below		Fee Due
Total claims	50	-	20	=	30	x	9	=	\$270
Ind. claims	6	-	3	=	3	x	39	=	\$117
Multiple Dependent claims						x		=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim

Subtotal (2) \$387

**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification		147	2,520	147	2,520	Filing a request for reexamination	
115	110	215	55	Ext. for reply within first month		116	380	216	190	Ext. for reply within second month	
117	870	217	435	Ext. for reply within third month		118	1,360	218	680	Ext. for reply within fourth month	
128	1,850	228	925	Ext. for reply within fifth month		119	300	219	150	Notice of Appeal	
120	300	220	150	Filing brief in support of appeal		121	260	221	130	Request for oral hearing	
140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)		122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to prov. appl.		126	240	126	240	Submission of IDS	
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))		149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee	
581	40	581	40	Recording patent assignment	40	Other fee (\$)					

\* Reduced by Basic Filing Fee Paid

**TOTAL AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT 50-0815**

Submitted by (Typed Name) Bret E. Field

Signature

Date

SUBTOTAL (3) \$40

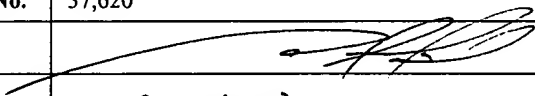
(\$772.00)

BOZICEVIC, FIELD &amp; FRANCIS LLP

Reg. Number 37,620

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new non-provisional applications under 37 CFR 1.53(b))

<b>17. CORRESPONDENCE ADDRESS</b>			
<b>Individual Name</b>	Bret E. Field		
<b>Firm Name</b>	BOZICEVIC, FIELD & FRANCIS LLP		
<b>Address</b>	200 Middlefield Road, Suite 200		
<b>City, State, Zip</b>	Menlo Park, CA 94025		
<b>Country</b>	U.S.A.		
<b>Telephone</b>	(650) 327-3400	<b>Facsimile</b>	(650) 327-3231

<b>SIGNATURE of Applicant or Assignee of Record</b>	
<b>Individual Name</b>	Bret E. Field
<b>Registration No.</b>	37,620
<b>Signature</b>	
<b>Date</b>	8.24.00

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**STATEMENT CLAIMING  
SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27 (c))  
SMALL BUSINESS CONCERN**

Attorney Docket	CORA-011
First Named Inventor	Brent R. Constantz
Application Number	N/A
Filing Date	Herewith
Title	Fluid Delivery Systems for....

I hereby state that I am:

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: Corazón Technologies, Inc  
 ADDRESS OF SMALL BUSINESS CONCERN: 199 Jefferson, Menlo Park CA 94025

I hereby state that the above-identified small business concern qualifies as a small business concern as defined in 37 CFR 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

Fluid Delivery Systems for Delivering Fluids to Multi-Lumen Catheters

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or by a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

SIGNATURE

*Brent Constantz* DATE: 8-22-00

NAME OF PERSON SIGNING: Brent R. Constantz

TITLE IN ORGANIZATION OF PERSON SIGNING: President

ADDRESS OF PERSON SIGNING: 199 Jefferson, Menlo Park CA 94025